



42813
Blw
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No : 09/785,999
Applicant : Uglow et al.
Filed : February 16, 2001
Title : METHODS FOR MAKING DUAL-DAMASCENE
DIELECTRIC STRUCTURES

TC/AU : 2800/2813
Examiner : Kielin, Erik J.

Atty. Docket : LAM1P106A
Date : July 16, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 16, 2004.

Signed: _____

Neely Jo Entwistle

INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF A FINAL ACTION (37 CFR 1.97(b)(4))

Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, but it is believed, before the mailing date of a final action under §1.113. Accordingly, accompanying this Information Disclosure Statement is:

- ☐ a certification as specified in 37 CFR 1.97(e).
☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No.LAM1P106A).

07/20/2004 SMINASS1 00000025 09785999

01 FC:1806

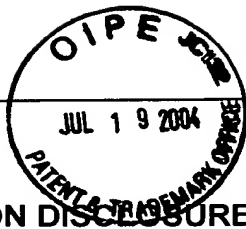
180.00 0P

Respectfully submitted,
MARTINE & PENILLA, LLP

Rick von Wohld, Esq.
Reg. No. 48,018

710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Telephone (408) 749-6900
Customer Number 25920

Attorney Docket No. LAM1P106A



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Application Number	09/785,999
Filing Date	February 16, 2001
First Named Inventor	Jay Uglow
Art Unit	2813
Examiner Name	Kielin, Erik J.
Attorney Docket Number	LAM1P106A

Sheet 1 of 1

U.S. Patent Documents

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	6,297,163-B1	10/02/2001	Zhu et al.	
	B	2002/0054962-A1	05/09/2002	Huang	
	C				
	D				
	E				
	F				
	G				
	H				
	I				
	J				
	K				
	L				

Foreign Patent Documents

Examiner Initial	Cite No. ¹	Foreign Patent Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number- ⁴ Kind Code ⁵ (if known)				
	M					<input type="checkbox"/>
	N					<input type="checkbox"/>
	O					<input type="checkbox"/>
	P					<input type="checkbox"/>
	Q					<input type="checkbox"/>

Non Patent Literature Documents

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	R	
	S	
	T	
	U	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.